

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>P61950US1</b>
		US APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/7485473</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP98/05127</b>	INTERNATIONAL FILING DATE <b>11 August 1998</b>	PRIORITY DATE CLAIMED <b>11 August 1997</b>
TITLE OF INVENTION <b>NEUTRAL SPHINGOMYELINASE</b>		
APPLICANT(S) FOR DO/EO/US <b>Wilhelm STOFFEL, Kay HOFMANN and Stephan TOMIUK</b>		

Applicant herein submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for Internatl. Preliminary Examination was made by the 19th month from earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the Internatl. Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Search Report — EPO  
PCT/IB/301 Form  
PCT/IB/304 Form  
PCT/IB/308 Form  
First Page of Publication  
International Preliminary Examination Report — with Annexes in German

US APPLICATION NO. (If known, see 37 CFR 1.5) <b>09 / 4 85 47 3</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP98/05127</b>		ATTORNEY'S DOCKET NUMBER <b>P61950US1</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Internat'l. prelim. examination fee paid to USPTO (37 CFR 1.492 (a) (1)) .. \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (2)) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .. \$760.00 Neither international preliminary examination fee (37 CFR 1.492 (a) (3)) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO) ..... <b>\$970.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (4)) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00 Search Report prepared by the EPO or JPO (37 CFR 1.492 (a) (5)) ..... <b>\$840.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS	PTO USE ONLY
				\$ 840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>		
Total Claims	27 - 20 =	-7-	x \$18.00	\$ 126.00	
Independent Claims	2 - 3 =	-0-	x \$78.00	\$	
Multiple Dependent Claim(s) (if applicable)			+ \$260.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 966.00	
Reduction by 1/2 for filing by <b>small entity</b> , if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$ 966.00	
Processing fee of \$130 for furnishing the <b>English translation</b> later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 966.00	
Fee of \$40.00 for recording the enclosed <b>assignment</b> (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31).				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 966.00	
				Amt. to be refunded:	\$
				Amt. charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>966.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <b>06-1358</b> in the amount of \$ <u>---</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge my account any additional fees set forth in §1.492 during the pendency of this application, or credit any overpayment to Deposit Account No. <b>06-1358</b> . A duplicate copy of this sheet is enclosed.					
SEND ALL CORRESPONDENCE TO: <b>Jacobson, Price, Holman &amp; Stern, PLLC</b> 400 7th Street, N.W., Suite 600 Washington, DC 20004 202-638-6666 <b>CUSTOMER NUMBER: 00136</b>				By <u>William E. Player</u> William E. Player Reg. No. 31,409	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: STOFFEL, et al.

Serial No.: PCT/EP98/05127

Filed: 11 August 1998

For: NEUTRAL SPHINGOMYELINASE

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to calculating the filing fee, please amend the captioned application as follows.

IN THE CLAIMS

In claim 3, line 2, delete "or 2".

In claim 5, line 1, change "at least one of claims 3 to 4" to --claim 3--.

In claim 7, line 2, change "at least one of claims 3 to 6" to --claim 3--.

In claim 8, line 1, change "at least one of claims 3 to 7" to --claim 3--.

In claim 5, line 1, change "at least one of claims 3 to 4" to --claim 3--.

In claim 10, line 2, delete "or 2".

In claim 12, line 2, delete "or 2".

In claim 20, line 2, change "any of claims 1 or 2" to --claim 1--.

In claim 21, lines 1-2, change "at least one of claims 3 to 8" to --claim 3--.

Rewrite the following claims.

- 9 (amended). Antibodies, characterized by being directed against the eucaryotic neutral sphingomyelinase according to [any of claims] claim 1 [or 2 or a nucleic acid according to at least one of claims 3 to 8].
- 14 (amended). A medicament containing the eucaryotic neutral sphingomyelinase according to [any of claims] claim 1 [or 2, a nucleic acid according to at least one of claims 3 to 8, and/or an antibody according to claim 9], together with further auxiliary agents
- 15 (amended). A diagnostic agent containing the eucaryotic neutral sphingomyelinase according to [any of claims] claim 1 [or 2, a nucleic acid according to at least one of claims 3 to 8, and/or an antibody according to claim 9], together with further auxiliary agents.
- 16 (amended). Use of the medicaments according to claim 14 [or the diagnostic agents according to claim 15] for the [diagnosis and] treatment of diseases based on over- or underexpression and/or an increased or reduced activity of eucaryotic neutral sphingomyelinase and/or disorders of cell proliferation, cell differentiation and/or apoptosis.

Add the following claims.

22. Antibodies, characterized by being directed against a nucleic acid according to claim 3.
23. A medicament containing a nucleic acid according to claim 3 together with further auxiliary agents.

24. A diagnostic agent containing a nucleic acid according to claim 3 together with further auxiliary agents.
25. A medicament containing an antibody according to claim 9 together with further auxiliary agents.
26. A diagnostic agent containing an antibody according to claim 9 together with further auxiliary agents.
27. Use of the diagnostic agents according to claim 15 for the diagnosis of diseases based on over- or underexpression and/or an increased or reduced activity of eucaryotic neutral sphingomyelinase and/or disorders of cell proliferation, cell differentiation and/or apoptosis.

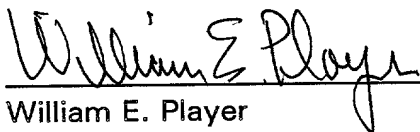
REMARKS

The present claims are 1-27. By the instant amendment multiple dependencies are eliminated from the claims, thereby, reducing fees.

Favorable action is requested.

Respectfully submitted,

By:



William E. Player  
Reg. No. 31,409

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 Seventh Street, N.W.  
Washington, D.C. 20004  
Tel. No.: 202-638-6666  
Atty. Dkt. No. P61950US1  
Date: February 11, 2000

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### Neutral Sphingomyelinase

The present invention relates to nucleic acids coding for eukaryotic neutral sphingomyelinase, and applications thereof.

Sphingomyelin is an essential component of plasma membranes. Degradation of sphingomyelin gives a number of substances having potential second messenger properties, e.g., ceramide, sphingosine, sphingosine-1-phosphate. Two sphingomyelin-cleaving enzymatic activities are known, namely that of lysosomal acid sphingomyelinase, and that of plasma-bound neutral sphingomyelinase.

Bacterial neutral sphingomyelinase is a secreted soluble protein.

The present invention for the first time provides nucleic acids coding for eukaryotic neutral sphingomyelinase. Eukaryotic neutral sphingomyelinase (nSMase) is characterized in that it cleaves sphingomyelin into ceramide and phosphocholine and that its activity depends on the addition of magnesium ions. It is a membrane-bound enzyme. Its maximum activity is achieved in the neutral pH range.

Figure 1 shows the gene sequence of human neutral sphingomyelinase.

Figure 2 shows the gene sequence of murine neutral sphingomyelinase.

Figure 3 shows the results of the Northern and Western blotting of nSMase-overexpressing cell lines.

Figure 4 shows the strategy for producing murine knockout mutants. The letters designate restriction sites.

Figure 5 shows constructs for obtaining transgenic mouse mutants.

Preferably, the nucleic acid according to the invention is a nucleic acid coding for the neutral sphingomyelinase of a mammal. More preferably, it codes for human or murine neutral sphingomyelinase. The corresponding nucleic acid sequences are disclosed as SEQ. ID. NO. 3 and SEQ ID. NO. 4, respectively.

Parts of the nucleic acid sequences are identical with the EST sequences AA028477 and AA013912 (murine) and W32352 and AA056024 (human).

When he knows the amino acid and nucleic acid structure of human and murine neutral sphingomyelinase, one skilled in the art can easily detect the corresponding nucleic acids and proteins from other eukaryotes, considering the high homology between human and murine nSMases. To do this, he can either use cross-reacting antibodies for a purification by specific affinity chromatography, or he can synthesize oligonucleotide primers on the basis of the nucleic acid sequence and amplify the desired nucleic acids in a cDNA library of the eukaryote using polymerase chain reaction. The corresponding cDNA library can be obtained in a per se known manner by isolating mRNA from a tissue sample, followed by reverse transcription. From the nucleic acid sequence, the amino acid sequence can be derived by means of the genetic code. Alternatively, it is also possible to search for homologous sequences in EST (expressed sequence tags) data bases and combine them.

The nucleic acids according to the invention are suitable for the expression of eukaryotic neutral sphingomyelinase in prokaryotic or eukaryotic systems. In addition, they are also suitable for expression of nSMase in vivo in a gene therapy, or especially, in the form of fragments with complementary structures, they are also suitable as antisense nucleotides for reducing the expression of nSMase.

The nucleic acids according to the invention can be prepared by chemical synthesis or by amplification in genetically engineered organisms by methods per se known to those skilled in the art.

The invention also relates to eukaryotic neutral sphingomyelinase obtainable by the expression of the nucleic acids according to the invention.

The nSMase according to the invention can be prepared by expression in genetically engineered organisms. Eukaryotic expression systems are particularly suitable. Appropriate eukaryotic expression systems are known to those skilled in the art, for example, pRc/CMV (Stratagene). Purification from genetically engineered organisms offers an easy and direct access to the nSMase according to the invention, especially in the case of overexpression, and in addition allows for the isolation thereof in larger quantities.

The eukaryotic neutral sphingomyelinase is preferably a mammal, especially human or murine, neutral sphingomyelinase. The amino acid sequences of the human and murine neutral sphingomyelinases are represented as SEQ. ID. NOS. 1 and 2.

The molecular weights of human and murine sphingomyelinases are 47.6 and 47.5 kDa, respectively. In contrast to bacterial nSMases, the mammal nSMases according to the invention do not contain a signal sequence at the N terminus. From the hydrophobicity analysis, it can be considered that two neighboring hydrophobic membrane domains at the C terminus are separated by eight amino acids. Therefore, the proteins appear to be integral membrane proteins whose catalytically active domain is directed towards the cytosol while only a small proportion of the enzymes contacts the extracellular environment. This is in contrast to bacterial nSMases which are secreted, soluble proteins, but in agreement with previous studies on the properties of neutral sphingomyelinases of mammals. According to a Northern blot analysis, the 1.7 kb mRNA of murine nSMase is expressed in all tissues. In the kidneys, brain, liver, heart and lungs, the Northern blot shows a strong signal while expression in the spleen appears to be low. This measurement was not in agreement with the measured enzymatic activities of the corresponding tissues. This speaks in favor of a post-transcriptional regulation of nSMase.

The pH optimum of the neutral sphingomyelinase according to the invention is within a range of from 6.5 to 7.5, with a  $K_m$  value for C18 sphingomyelin



within a range of from  $1.0$  to  $1.5 \times 10^{-5}$  M. The activity is dependent on the presence of magnesium ions; the addition of EDTA results in an inhibition of SMase activity, which can be restored, however, by the addition of  $Mn^{2+}$  or  $Mg^{2+}$  ions. The addition of 0.3 to 0.5% Triton X-100 increases the enzymatic activity. The activity is not affected by a treatment with DTT or 2-mercaptoethanol whereas the addition of 20 mM glutathione led to inhibition. The activity of nSMase is not restricted to sphingomyelin; the structurally related phosphatidylcholine was also cleaved with about 3% activity.

Also claimed are variants of the eukaryotic neutral sphingomyelinase. The term "variants" encompasses both naturally occurring allelic variations of the eukaryotic neutral sphingomyelinase and proteins prepared by recombinant DNA technology (especially by in-vitro mutagenesis using chemically synthesized oligonucleotides) followed by expression which correspond to eukaryotic neutral sphingomyelinase in terms of biological and/or immunological activity. This may include the deletion, insertion or conservative substitution of amino acids. "Conservative substitution" means that an amino acid is substituted by another amino acid having similar physicochemical properties.

Thus, for example, the following amino acids are interchangeable: serine and alanine; alanine and glycine; methionine and serine; lysine and arginine; lysine and serine.

In particular, the term "variants" also includes N-terminally and/or C-terminally truncated proteins as well as acetylated, glycosylated, amidated and/or phosphorylated derivatives.

At least part of the activity of nSMase seems to reside in the C-terminal region since the fragment 1-282 of murine nSMase failed to exhibit an increase of sphingomyelinase activity when expressed in HEK293 cells. This invention also relates to C-terminal fragments of nSMase. Compounds in which nSMase or its variants are coupled with other molecules, such as dyes, radionuclides or affinity components, are also variants according to the invention.

Also claimed are nucleic acids coding for eukaryotic neutral sphingomyelinase or being complementary to such nucleic acids. The nucleic acids may be, for example, DNA, RNA, PNA or nuclease-resistant analogues thereof. In particular, nuclease-resistant analogues include those compounds which have the phosphodiester linkage modified by hydrolysis-stable compounds, such as phosphothioates, methylphosphonates or the like.

Especially short fragments of the nucleic acids are suitable as antisense nucleotides. For reasons of specificity, they should preferably contain more than 6, more preferably more than 8 and most preferably more than 12 nucleotides. For reasons of diffusion and costs, they usually have a length of less than 30 nucleotides, preferably 24 or less, and more preferably 18 or less nucleotides.

The invention also relates to derivatives of nucleic acids which are coupled to other molecules for diagnostic or therapeutic purposes, for example, to fluorescent dyes, radioactive labels or affinity components, and fragments of the nucleic acids according to the invention, and the nucleic acids complementary to these nucleic acids, and variants of the nucleic acids.

"Fragments" as used herein means nucleic acids truncated at the 5' or 3' or at both ends. The term "variants" means that these nucleic acids will hybridize with the nucleic acid according to the invention or with nucleic acids complementary thereto under stringent conditions. The term "stringent conditions" means that the hybridization is performed under conditions in which the temperature is even lower by up to 10 °C than the temperature (conditions being otherwise identical) just low enough for exactly complementary nucleic acids to anneal. For example, if an exactly complementary nucleic acid will anneal down to a temperature of about 55 °C under given conditions, then stringent conditions are temperatures of equal to or higher than 45 °C. Preferably, the temperature range for stringent conditions is within 5 °C, more preferably within 3 °C.

Further, the invention relates to antibodies directed against the nSMase according to the invention or the nucleic acids according to the invention.

These substances are suitable, in particular, for use in diagnostics, in immuno-assays per se known to those skilled in the art, for histological studies and as medicaments for the treatment of conditions associated with an overexpression of nSMase. Such antibodies according to the invention can be obtained by methods per se known to those skilled in the art through immunization with nSMase, nucleic acids according to the invention or peptide and nucleic acid fragments in the presence of adjuvants.

Further, the invention relates to cell lines which overexpress the nSMase according to the invention. Such cell lines can be obtained by transfection with vectors containing the nucleic acids according to the invention coding for nSMase. In the case of eukaryotic cell lines, for example, transfection may be effected by electroporation. Preferably, the cell lines are stably transfected.

In this connection, "overexpression" means that the cell line has a higher activity of nSMase than cell lines which have not been transfected with the nucleic acids according to the invention. For example, suitable eukaryotic cell lines include the cell lines U937, HEK 293 or Jurkat.

In experiments, the cell lines exhibited a specific nSMase activity of between 0.3 and 10  $\mu\text{mol/mg}$  of protein/hour.

Figure 3 shows the Northern and Western blot analysis of nSMase expression in transfected cell lines. Portion A shows the result of a RT PCR of the whole cell RNA with primers hybridizing with human and murine nSMase cDNAs. Portion B shows the T PCR of the whole RNA with primers hybridizing with human  $\beta$ -actin cDNA as a control. Portion C shows the Western blot of the plasma membrane protein extract of different HEK 293 cell lines after SDS polyacrylamide gel electrophoresis and hybridization with polyclonal anti-nSMase antibodies.

The addition of 0.5 mM arachidonic acid resulted in a threefold increase of nSMase activity in the overexpressing HEK cells.

The invention further relates to a transgenic mammal which exhibits an overexpression (gain of function) or a genetic deficiency or defect (loss of function) for the nSMase according to the invention. The mammal is preferably a rodent, especially a mouse. Such transgenic mammals can be obtained by methods per se known to those skilled in the art and are especially suitable for elucidating the function of neutral sphingomyelinase. For transgenic mammals, defined gene constructs are injected into the pronucleus of a fertilized egg cell by DNA microinjection to achieve the expression of an additional gene. By selectively changing a gene in the genome of ES cells which are subsequently injected in blastocysts, the function of a gene is switched off.

The strategy and constructs for generating the mouse mutants are shown in Figures 4 and 5.

The transgenic animals are preferably animals in which the gene can be switched on and off temporally and in a tissue-specific way by external induction. Such transgenic mammals are especially suitable for elucidating the metabolic and signal transduction pathways related to the nSMase according to the invention; this in turn enables diagnostic or therapeutic applications. In particular, the transgenic mammals are suitable for the screening of pharmaceutically active substances.

The eukaryotic neutral sphingomyelinase according to the invention, the nucleic acids according to the invention and the antibodies according to the invention can be contained in medicaments and diagnostic agents, optionally together with further auxiliary agents. Such medicaments and diagnostic agents are suitable for the diagnosis and treatment of diseases based on over- or underexpression and/or an increased or reduced activity of eukaryotic neutral sphingomyelinase and/or disorders of cell proliferation, cell differentiation and/or apoptosis.

In particular, these are diseases in which inflammation processes, cell growth disorders and metabolic disorders are involved. For example, they may be cancers or disorders of cholesterol homeostasis (atherosclerosis).

A pharmaceutical screening method according to the invention relies on a change of the expression or activity of the nSMase according to the invention in nSMase-overexpressing cell lines upon the addition of at least one potential pharmaceutically active substance. Thus, the cell lines are suitable, in particular, for developing and testing pharmaceutical leading structures.

The invention will be further illustrated by the following Examples.

### **Example 1**

#### Cloning of the nucleic acid

The inventive nucleic acids coding for neutral sphingomyelinase were cloned into the NotI restriction sites of the cloning site of the eukaryotic expression vector pRc/CMV (Stratagene). The sequences of the resulting DNAs were obtained by sequencing using a Perkin-Elmer DNA sequencer 377A.

### **Example 2**

#### Cloning of the RNA

The whole RNA was isolated from different organs of eight three-week-old CD1 mice according to known methods, and poly(A<sup>+</sup>) RNA was isolated by affinity purification on oligo(dT) cellulose (Boehringer Mannheim, Germany) according to standard methods.

### **Example 3**

#### Overexpressing cell lines

U937 cells were grown in PRMI 1640 medium with 10% fetal calf serum, 1 µg/ml penicillin/streptomycin and 0.03% glutamine at 37 °C and 5% CO<sub>2</sub>. By electroporation with a Gene Pulser (Bio-Rad), 5 x 10<sup>6</sup> cells were transfected with 1 µg of linearized plasmid DNA coding for the nSMase according

to the invention. The selection of stable clones was effected by using 1 mg/ml geneticin (G418, Life Technologies, Gaithersburg, MD).

The nSMase purified from the cell lines exhibited a specific activity of between 0.3 and 10  $\mu\text{mol/mg}$  of protein/hour. Its pH optimum was at 6.5 and 7.5. The  $K_M$  value for C18 sphingomyelin was from 1.0 to  $1.5 \times 10^{-5}$  M. The activity was dependent on the presence of magnesium ions; the addition of EDTA inhibited the activity.

#### **Example 4**

##### Measurement of nSMase activity

The enzymatic activity was examined in cells and murine tissues. The cells were washed twice with ice-cold PBS and sedimented at  $1,000 \times g$ . The pellet was resuspended in lysis buffer, and the cells were disrupted by repeated cycles of freezing and thawing. After centrifugation at  $2,500 \times g$  for 2 min, extraction with lysis buffer containing 0.2% Triton X-100 was performed, followed by centrifugation at  $100,000 \times g$  for 15 min.

Tissue from three-week-old mice was homogenized in cold lysis buffer. The quantity of protein or homogenized tissue to be examined was incubated with 10 nM (80,000 dpm)  $[\text{N-}^{14}\text{CH}_3]\text{sphingomyelin}$  for 30 min at  $37^\circ\text{C}$  in a total volume of 200  $\mu\text{l}$ . Then, 100  $\mu\text{l}$  of water was added, and unreacted substrate was removed by extraction with chloroform-methanol (2:1, v/v). The radioactivity of the aqueous phase containing the enzymatically released phosphocholine was measured in a scintillation counter.

#### **Example 5**

##### Polyclonal antibodies

Rabbits were immunized with the synthetic peptide CDPHSDKPFSDHE (corresponding to amino acids 261 through 273 of murine nSMase), coupled to keyhole limpet hemocyanin. The polyclonal antibody serum was purified by

[illegible]

Amended

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416 Rec'd PCT/PTO 11 FEB 2000

CLAIMS:

(amended August 24, 1999)

1. A eukaryotic neutral sphingomyelinase having the sequence according to SEQ. ID. NO. 1 or SEQ. ID. NO. 2 and variants of said eukaryotic neutral sphingomyelinase of SEQ. ID. NO. 1 or SEQ. ID. NO. 2 which correspond to eukaryotic neutral sphingomyelinase in terms of biological and/or immunological activity.
2. A eukaryotic neutral sphingomyelinase, characterized by being a C-terminally or N-terminally truncated variant.
3. A nucleic acid coding for the eukaryotic neutral sphingomyelinase according to claim 1 or 2.
4. The nucleic acid according to claim 3 having the sequence according to SEQ. ID. NO. 3 or SEQ. ID. NO. 4.
5. The nucleic acid according to at least one of claims 3 to 4, characterized by being DNA, RNA, PNA or nuclease-resistant analogues thereof, mRNA, cDNA or genomic DNA.
6. The nucleic acids according to claim 5, characterized by being the gene for eukaryotic neutral sphingomyelinase which contains non-coding regions (introns) in addition to coding regions (exons), especially a gene having the sequence according to SEQ. ID. NO. 5 or SEQ. ID. NO. 6.
7. A nucleic acid, characterized by being complementary to the nucleic acid according to at least one of claims 3 to 6.
8. The nucleic acid according to at least one of claims 3 to 7, characterized by being derivatives, fragments with more than six nucleotides or variants of such nucleic acids.



9. Antibodies, characterized by being directed against the eukaryotic neutral sphingomyelinase according to any of claims 1 or 2 or a nucleic acid according to at least one of claims 3 to 8.
10. A cell line, characterized by overexpressing the neutral sphingomyelinase according to claim 1 or 2.
11. The cell line according to claim 10, characterized by being a cell line which expresses eukaryotic neutral sphingomyelinase and is based on the cell lines U937, HEK 293 or Jurkat.
12. A transgenic mammal exhibiting overexpression (gain of function) or a genetic deficiency or defect (loss of function) for eukaryotic neutral sphingomyelinase according to claim 1 or 2.
13. The transgenic mammal according to claim 12, characterized by being a rodent.
14. A medicament containing the eukaryotic neutral sphingomyelinase according to any of claims 1 or 2, a nucleic acid according to at least one of claims 3 to 8, and/or an antibody according to claim 9, together with further auxiliary agents.
15. A diagnostic agent containing the eukaryotic neutral sphingomyelinase according to any of claims 1 or 2, a nucleic acid according to at least one of claims 3 to 8, and/or an antibody according to claim 9, together with further auxiliary agents.
16. Use of the medicaments according to claim 14 or the diagnostic agents according to claim 15 for the diagnosis and treatment of diseases based on over- or underexpression and/or an increased or reduced activity of eukaryotic neutral sphingomyelinase and/or disorders of cell proliferation, cell differentiation and/or apoptosis.
17. The use according to claim 16, characterized in that said diseases are inflammation processes, cell growth disorders, cancers and/or meta-

bolic disorders, such as disorders of cholesterol homeostasis (atherosclerosis).

18. A method for the screening of active substances, characterized in that a change in expression or activity of the eukaryotic neutral sphingomyelinase is measured in cell lines according to claim 10 upon the addition of at least one potential pharmaceutically active substance.
19. Use of the cell line according to claim 10 for developing and testing pharmaceutical leading structures.
20. A process for the preparation of the eukaryotic neutral sphingomyelinase according to any of claims 1 or 2 by chemical peptide synthesis or by expression in genetically engineered organisms, especially in eukaryotic expression systems.
21. A process for the preparation of a nucleic acid according to at least one of claims 3 to 8 by chemical synthesis or by amplification in genetically engineered organisms.

Abstract

The present invention relates to eukaryotic neutral sphingomyelinase (nSMase) and its application.

# human neutral Sphingomyelinase (NSM) Gene Sequence

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1  ACCGCGGCGCTCGCTGGAGAGTTTCGAGCGCGCTAGCGCCCCTGGAGCTCCCCAACCATGA
   -----+-----+-----+-----+-----+-----+-----+-----+
61  TGGCGCGCGCAGCGACCTCTCAAGCTCGGCGGATCGCGGGGACCTCGAGGGGTTGGTACT
   -----+-----+-----+-----+-----+-----+-----+-----+
   AGCCCAACTTCTCCCTGCGACTGCGGATCTTCAACCTCAACTGCTGGTGAGTGCGTCTGC
61  -----+-----+-----+-----+-----+-----+-----+-----+
   TCGGGTTGAAGAGGGACGCTGACGCCTAGAAGTTGGAGTTGACGACCACTCACGCAGACG
   -----+-----+-----+-----+-----+-----+-----+-----+
121  GGAGTGCGGTCTGGGGGCCACCTTCCGTTTCGCACCCATGCAGCCTTCCTCCCCCTATCCC
   -----+-----+-----+-----+-----+-----+-----+-----+
   CCTCAGCCAGACCCCGGTGGAAGCAAGCGTGGGTACGTCGGAAGGAGGGGGATAGGG
   -----+-----+-----+-----+-----+-----+-----+-----+
181  GCCCCACGATCTCAGGGGTAGGGAAACCCGAACCTCCAAAGTCCACATCTGGCCCCAG
   -----+-----+-----+-----+-----+-----+-----+-----+
   CGGGGTGCTAGAGTCCACATCCCTTTTGGGCTTGGAGGTTTCAGGTGTAGACCGGGGTC
   -----+-----+-----+-----+-----+-----+-----+-----+
241  CGCCGGTGGTCCCAGCAGTCGCCTCCCCTGCCCCGCTCTTCCCTTCCTTAGGGGCATTCC
   -----+-----+-----+-----+-----+-----+-----+-----+
   GCGGCCACCAGGGTCGTCAGCGGAGGGGACGGGGCGAGAAGGAAGGAATCCCCGTAAGG
   -----+-----+-----+-----+-----+-----+-----+-----+
301  GTACTTGAGCAAGCACCAGGCGGCGACCGCATGAGGCGCCTGGGAGACTTTCTGAACCAGGA
   -----+-----+-----+-----+-----+-----+-----+-----+
   CATGAAGTCTGTTGCTGCGCCGCTGGCGTACTCCGCGGACCTCTGAAAGACTTGGTCTCT
   -----+-----+-----+-----+-----+-----+-----+-----+
   GAGCTTCGACCTGGCTTTGCTGGAGGAGGTGAGATTGTGCAGCACGGTGCGGAACCCAGG
361  -----+-----+-----+-----+-----+-----+-----+-----+
   CTCGAAGCTGGACCGAAACGACCTCCTCCACTCTAACACGTCGTGCCACGCCTTGGGTCC
   -----+-----+-----+-----+-----+-----+-----+-----+
421  CTGGGAGGAGGGACAGACCGTCCCCTGGGAAAGACCAAGCAGGCATCCTCACCCTTC
   -----+-----+-----+-----+-----+-----+-----+-----+
   GACCTCCTCCCTGTCTGCGAGGGTGACCCCTTTCTGGTTCCGTAGGAGTGGCGAAG
   -----+-----+-----+-----+-----+-----+-----+-----+
481  CCTCAGGTGTGGAGTGAGCAGGACTTCCAGTACCTGAGACAGAAGCTGTACCTACCTAC
   -----+-----+-----+-----+-----+-----+-----+-----+
   GGAGTCCACACCTCACTCGTCCTGAAGGTCATGACTCTGTCTTCGACAGTGGATGGATG
   -----+-----+-----+-----+-----+-----+-----+-----+
541  CCAGCTGCACACCACTTCCGGAGGTGAGAAGCCCACTGGCCTGAAGCCTGTTGTATCCC
   -----+-----+-----+-----+-----+-----+-----+-----+
   GGTGACGCTGTGGTGAAGGCCCTCACTCTTCGGGTGACCGGACTTCGGACAACAGTAGGG
   -----+-----+-----+-----+-----+-----+-----+-----+
601  AGGAGGCTCTTGGCCCTGCCAGCCCTTCCCTATCCTGCCTGCACTCTCCAGTCTCCTCCA
   -----+-----+-----+-----+-----+-----+-----+-----+
   TCCTCCGAGAACCAGGACGGTCGGAAGGGATAGGACGGACGTGAGAGGTGAGAGGAGGT
   -----+-----+-----+-----+-----+-----+-----+-----+
661  GCCTCCTCTCCCTCTGGATGTGAGAGAAGGAGAAGGGTGAACCAAGAAGGTCTATGACT
   -----+-----+-----+-----+-----+-----+-----+-----+
   CGGAGGAGAGGGAGACCTACACTCTCTCCTCTTCCCACTTGGTTCTTCCAGGATACTGA
   -----+-----+-----+-----+-----+-----+-----+-----+
721  TCAGCCCATTTTCAAGCTTTGTTTCTGGCTGCCCTATACTCCTCCAAAGGCCGTCGCCTTG
   -----+-----+-----+-----+-----+-----+-----+-----+
   AGTCGGGTAAAGTCGAAACAAAAGACCGGATATGAGGAGGTTTCCGGCAGCGGAAC
   -----+-----+-----+-----+-----+-----+-----+-----+
781  GTTCTAGGGCTAGTCCCAGCAGTAGAAAAAGAAAAAATAGCTGATCAGAGCTGGAAGAC
   -----+-----+-----+-----+-----+-----+-----+-----+
   CAAGATCCCGATCAGGGTCGTATCTTTTCTTTTATCGACTAGTCTCGACCTTCTG
   -----+-----+-----+-----+-----+-----+-----+-----+
841  AAGGGAGGGGAAGAAGGCTGGGTGTCTCTCCCTGTTTTTCTGGTTATTAAGCAGGGCTTG
   -----+-----+-----+-----+-----+-----+-----+-----+
   TTCCCTCCCCTTCTTCCGACCCACAGAGAGGGACAAAAAGACCAATATTCGTCCCGAAC
   -----+-----+-----+-----+-----+-----+-----+-----+
901

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Figure 1-1

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1861 CTCTCCCTCCTTCTCCCCACATCCTAGCATGAGCCAATGATTCCCTTAGGGCTCTGAGG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 1920  
 GAGAGGGAGGAAGAGGGGGTGTAGGATCGTACTCGGTACTAAGGGAATCCCCGAGACTCC  
 E VIII  
 1921 AAGGCAACACAATGGTACCCAAGAACTGNTACGTCAGCCAGCAGGAGCTGAAGCCATTTC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 1980  
 TTCCGTTGTGTACCATGGGTCTTGACNATGCAGTCGGTCTGCTCCGACTTCGGTAAAG  
 1981 CCTTTGGTGTCCGCATTGACTACGTGCTTTACAAGGTCAGGCTCCTCCCTTCAACATGCT  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2040  
 GGAAACCACAGGCGTAACTGATGCACGAAATGTTCCAGTCCGAGGAGGGAAGTTGTACGA  
 2041 TTCATATGCTGTGTCTCTTTGTCTACTAACCTGTGTAGATCCTTTGCTCAGNATGCTAG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2100  
 AAGTATACGACACAGAGAAACAGATGATTGGACACATCTAGGAAACGAGTCNATCAGATC  
 2101 TCTTGGACCACTGATGGGTGGAAAGTGGGGTAGCCGGGAGCTGGTTCTCTGGGAAGAGGC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2160  
 AGAACCTGGTGACTIONCACCTTTACCCCATCGGCCCTCGACCAAGAGACCCCTTCTCCG  
 2161 CCTCATATATAAGCTTCTCTNTGGCCCTTACTTTTCTAGGCAGTTTCTGGGTTTTACAT  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2220  
 GGAGTATATATTGGAAGAGANACCGGGAATGAAAGGATCCGTCAAAGACCCAAAATGTA  
 2221 CTCCTGTAAGAGTTTTGAAACCACTACAGGCTTTGACCCTNACAGGGGCACCCCCCTCTC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2280  
 GAGGACATTCTCAAACTTTGGTGATGTCCGAAACTGGGANTGTCCCGTGGGGGGAGAG  
 E IX  
 2281 TTGATCATGAAGCCCTGATGGCTACTCTGTTTGTGAGGCACAGCCCCCACAGCAGAACC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2340  
 AACTAGTACTTCGGGACTACCGATGAGACAAACACTCCGTGTCCGGGGGTGTCTCTTGG  
 2341 CCAGCTCTACCCACGGTGAGTCACCCCCACCTTTCTTGGCCCTTGCCCCGCTTGAAGC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2400  
 GGTGAGATGGGTGCCACTCAGTGGGGGTGGGAAAGGAACCGGGAACGGGGCGAAGTTCC  
 2401 AGCCCTTCCACTCTTGACTCTCTCCTGCCCACTGCCCTGCTCTGTTGTAGGACCAGCAG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2460  
 TCGGGAAGGTGAGAACTGAGAGAGGACGGGGTGACGGGACGAGACAACATCCTGGTCTGTC  
 2461 AGAGGTCCGCCGTTGATGTGTGTGCTAAAGGAGGCTGGACGGAGCTGGGTCTGGGCATGG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2520  
 TCTCCAGCGGCAACTACACACAGATTTCTCCGGACCTGCCTCGACCCAGACCCGTACC  
 2521 CTCAGGCTCGCTGGTGGGCCACCTTCGCTAGCTATGTGATTGGCCTGGGGCTGCTTCTCC  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2580  
 GAGTCCGAGCGACACCCGGTGAAGCGATCGATACACTAACCGGACCCCGACGAAGAGG  
 E X  
 2581 TGGCACTGCTGTGTGTCTTGGCGGCTGGAGGAGGGGCCGGGGAAGCTGCCATACTGCTCT  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2640  
 ACCGTGACGACACACAGGACCGCCGACCTCCTCCCGGCCCTTCGACGGTATGACGAGA  
 2641 GGACCCCCAGTGTAGGGCTGGTGTGTGGGAGGTGCATTCTACCTCTTCCACGTACAGG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2700  
 CCTGGGGGTACATCCCGACACGACACCCGTCCACGTAAGATGGAGAAGGTGCATGTCC  
 2701 AGGTCAATGGCTTATATAGGGCCAGGCTGAGCTCCAGCATGTGCTAGGAAGGGCAAGGG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2760  
 TCCAGTTACCGAATATATCCCGGGTCCGACTCGAGGTCTGACACGATCCTTCCCGTTCCC  
 2761 AGGCCCAGGATCTGGGCCAGAGCCTCAGCCAGCCCTACTCTGGGGCAGCAGGAGGGGG  
 -----+-----+-----+-----+-----+-----+-----+-----+-----+ 2820  
 TCCGGTCTAGACCCGGGTCTCGGAGTCGGTCCGGATGAGGACCCCGTCTCTCCCCC  
 ACAGAACTAAAGAACATAAAGCTTGGCCCAA

Figure 1-2

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2821 -----+-----+-----+--- 2852  
TGTCTTGATTCTTGTTATTTCGAACCGGGT

Figure 1-3

# Mouse Neutral Sphingomyelinase (nSMase) gene sequence

```

1  TNGANNCTGTTAGCTCCAGNCCGGTNGGTCGCCGTNCTAGNCNNATCTNTATAGCTCTTC
  -----+-----+-----+-----+-----+-----+-----+-----+
61 ANCTNNGACAATCGAGGTCNGGCCANCCAGCGGCANGATCNGNNTAGANATATCGAGAAG
  -----+-----+-----+-----+-----+-----+-----+-----+
  GTTGCAGAGCNAATTNNNTCTCAATAAANGGATNCANCCCTATGACAGAACGTGGACCCC
61 -----+-----+-----+-----+-----+-----+-----+-----+
  CAACGCTCGNGTTAANNNAGAGTTATTTNCCTANGTNGGATACTGTCTTGACCTGGGG
  -----+-----+-----+-----+-----+-----+-----+-----+
121 CGCCCGCCANNCANGNANACCGCGGCATGGGNTGAGGTGCNCANGGTGTCTGGGGCG
  -----+-----+-----+-----+-----+-----+-----+-----+
  GCGGGCGGTNGGTNCNCTNTGGCGCCGTACCCNGACTCCACGNGTNCACAGACCCCGC
  -----+-----+-----+-----+-----+-----+-----+-----+
181 AGGGGTTACCTCAGCGATGGTCTTTGACACCTGAAAGCTGGAGCTTTTGAANAGCCCCAN
  -----+-----+-----+-----+-----+-----+-----+-----+
  TCCCCAATGGAGTCGCTACCAGAACTGTGGACTTTGACCTCGAAACTTNTCGGGGTN
  -----+-----+-----+-----+-----+-----+-----+-----+
241 CACCTTCAGCTTCAGGGGCGGCTCNGGCGGCAACCGCACGTGANATGCTGGGGGCTTCGA
  -----+-----+-----+-----+-----+-----+-----+-----+
  GTGGAAGTCGAAGTCCCCGCCGAGNCCGCCGTTGGCGTGCACTNTACGACCCCGAAGCT
  -----+-----+-----+-----+-----+-----+-----+-----+
301 CTTGGGCGGCACGGNTGCTGGGTGGCCATGGAANNACAGNACAGAGCCCGGNACACAA
  -----+-----+-----+-----+-----+-----+-----+-----+
  GAACCCGCGCGTGCCNACGACCCACCGGTACCTTNNNTGTCNTGTCTCGGGCCNTGTGTT
  -----+-----+-----+-----+-----+-----+-----+-----+
361 ATANTGCGAGTCGCCANGGNAACCGCGTGGCTCCTCCCCGAACGCCNCAAGGGGCGGGA
  -----+-----+-----+-----+-----+-----+-----+-----+
  TATNACGCTCAGCGGTNCCNTTGGCGCACCGAGGAGGGGCTTGGGGNGTTCCCCGCCCT
  -----+-----+-----+-----+-----+-----+-----+-----+
421 CCTGAGTGAGTTCNTGGGCGGGGCTCNCATCAACTTCAAGCCTGTTGCTGGTGGAGCC
  -----+-----+-----+-----+-----+-----+-----+-----+
  GGACTCACTCAAGNACCCGCGCGGAGNGTAGTTGAAGTTCGGACAACGACCACCTTCGG
  -----+-----+-----+-----+-----+-----+-----+-----+
EI 481 GAGCCGGGAACAAGGGAGGAACCTGTAGCGCGGTCGGGATAACCCACCGAAGGACCTA
  -----+-----+-----+-----+-----+-----+-----+-----+
  CTCGGCCCTTGTTCCCTCCTTGGACATCCGGCGCCACGCCTATTGGGTGGCTTCTGGAT
  -----+-----+-----+-----+-----+-----+-----+-----+
541 AGAATCTGGAACAGTCCACCCGAGATTCTTCCAGGACTGCCGGCGGACTCTCGCATTCA
  -----+-----+-----+-----+-----+-----+-----+-----+
  TCTTAGACCTTGTCAGGTGGGCTCTAAGGAAGTCTGACGGCCGCTGAGAGCGTAAGT
  -----+-----+-----+-----+-----+-----+-----+-----+
601 GCCCGGGATTGTCAGCCGACCTTCTTCCGGGTGGAATGACGGCCTTTGTCCAGTAACG
  -----+-----+-----+-----+-----+-----+-----+-----+
  CGGGCCCTAAACGTCGGCTGGAAGAAAGGCCACCTTACTGCCGGAACAGGGTCATTGC
  -----+-----+-----+-----+-----+-----+-----+-----+
661 CAGGAGTCNNCCCCACCCCAACCAGCTCGCGTTCCTGGGTGGGGCAGCGCAGGATAGG
  -----+-----+-----+-----+-----+-----+-----+-----+
  GTCCTCAGNNGGGTGGGGTGGTTCGAGCGCAAGGACCCAGCCCCGTGCGTCTCTATCC
  -----+-----+-----+-----+-----+-----+-----+-----+
721 GCAATAAGCCTGTGCGCGCAATCCGCTCGCCGCCCTTGTCCGAAGCACTCCAGCCATG
  -----+-----+-----+-----+-----+-----+-----+-----+
  CGTTATTCGGACACGCGCGTTAGGCGGAGCGGCGGGAACGAGGCTTCGTGAGGTGCGTAC
  -----+-----+-----+-----+-----+-----+-----+-----+
781 AAGCTCAACTTTTCTCTACGGCTGAGAGTTTTCAATCTCAACTGCTGgtaagtaagtgt
  -----+-----+-----+-----+-----+-----+-----+-----+
  TTCGAGTTGAAAAGAGATGCCGACTCTCAAAGTTAGAGTTGACGACcattcattcacga
  -----+-----+-----+-----+-----+-----+-----+-----+

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Figure 2-1

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cccaggcgtgggCTGCAGCCTCGGAGCCACCTTCCAGTCCCCTCTCGCACATGCCTAGGA
841 -----+-----+-----+-----+-----+ 900
gggtccgcacccGACGTCGGAGCCTCGGTGGAAGGTCAGGGGAGAGCGTGTACGGATCCT

AGGAAGCAGGTCTTCTTCAGCCGAGCTAGACCCTGTCCTTCCCGAACCACCAAAGTCCAC
901 -----+-----+-----+-----+-----+ 960
TCCTTCGTCCAGAAGAAGTCGGCTCGATCTGGGACAGGAAGGGCTTGGTGGTTTCAGGTG

ATCGCCTAAAGACCAGAGCTTGGGTGGTTGCAGCAATCACCAAAGTCCCTATCATCCAAA
961 -----+-----+-----+-----+-----+ 1020
TAGCGGATTTCTGGTCTCGAACCCACCAACGTCGTTAGTGGTTTCAGGGATAGTAGGTTT

GCTGAGGTGATGACAGCAGTAATCGTCCCAAACCTGGCCCATGTCTTTCCTTTTAAATGA
1021 -----+-----+-----+-----+-----+ 1080
CGACTCCACTACTGTCTGTCATTAGCAGGGTTTGGACCGGGTACAGAAAGGAAAATTACT

TTTACTTTTATTTATGTACATTTGGTGTGTTTGCCTGTATGTATGTCTGTGTGAAGGTGC
1081 -----+-----+-----+-----+-----+ 1140
AAATGAAAATAAAATACATGTAAACCACAAAACGGACATACATACAGACACACTTCCACG

CAGATTCTCTGGAAGTGGAGTTACAGACAGTTGTAAGCTGTCTATGTGCTTGCTGGAAATT
1141 -----+-----+-----+-----+-----+ 1200
GTCTAAGAGACCTTGACCTCAATGTCTGTCAACATTTCGACAGTACACGAACGACCTTTAA

GAACTGTGACCCATCTCTTCTGCCCCCTGCGTCCTCCACCCCTTTTAGGGACATCCCCT
1201 -----+-----+-----+-----+-----+ 1260
CTTGACGACTGGGTAGAGAAGACGGGGGACGCGAGGTGGGGGAAAATCCCTGTAGGGGA

ACCTGAGCAAACATAGGGCGGACCGCATGAAGCGCTTGGGAGACTTTCTGAACTTGGA
1261 -----+-----+-----+-----+-----+ 1320
TGGACTCGTTTGTATCCCGCCTGGCGTACTTCGCGAACCCCTCTGAAAGACTTGAACCTTT

E II
ACTTTGATCTGGCTCTCCTGGAGGAGGTGAGGTTGTAGGGCAGGCTAGGTTGGAGGAGGG
1321 -----+-----+-----+-----+-----+ 1380
TGAAACTAGACCGAGAGGACCTCCTCCACTCCAACATCCCGTCCGATCCAACCTCCTCCC

CAGCAGGCGGCGAGGCGGCGGAGGAAACTTGTCTGTCTTGGGATGAAATCCCAAGCAA
1381 -----+-----+-----+-----+-----+ 1440
GTCGTCCGCGCTCCGCGCGCTCCTTTGAACAAGACAGAACCCTACTTTAGGGTTCGTT

GTATCCTCACCTTCTTCTCCAGGTGTGGAGTGAGCAGGACTTCCCAGTACCTAAGGCAA
1441 -----+-----+-----+-----+-----+ 1500
CATAGGAGTGGAGAAGGAGGTCCACACCTCACTCGTCTGAAGGGTCATGGATTCCGTT

E III
AGGCTATCGCTCACCTATCCAGATGCACACTACTTCAGAAGGTGAAAAGCCTGTGTTCTC
1501 -----+-----+-----+-----+-----+ 1560
TCCGATAGCGAGTGGATAGGTCTACGTGTGATGAAGTCTTCCACTTTTCGGACACAAGAG

AGCCTGTTTCTCAGACGAGGAAGCTCTCCAACATTCTTGCTTGACCCTCGATCTTCTTCC
1561 -----+-----+-----+-----+-----+ 1620
TCGGACAAGAGTCTGCTCCTTCGAGAGGTTGTAAGAACGAACGTGGGAGCTAGAAGAAGG

TCTGGGTGTGAGAAGAGCAGGCCGTCACCCCTCATCTTGCAAGGGCTGCTGTCTTAGGCTT
1621 -----+-----+-----+-----+-----+ 1680
AGACCCACACTCTTCTCGTCCGGCAGTGGGAGTAGAACGTTCCCGACGACAGAATCCGAA

TGTTCTGGGGTTGATCTTAGCAGTAGAGCTGGGAGACCGCGAGGGGAAGAGGGCTGGCT
1681 -----+-----+-----+-----+-----+ 1740
ACAAGACCCCAACTAGAATCGTCATCTCGACCCCTCTGGCGCCTCCCCTTCTCCCGACCGA

```

Figure 2-2



GGGTACTCCCCCTCTTGCTCTTCTGGTTATTAAGCAAGAGTTGGTTTTTCAGCGGGATGAT  
 1741 -----+-----+-----+-----+-----+ 1800  
 CCCATGAGGGGAGGAACGAGAAGACCAATAATTCTGTTCTCAACCAAAAGTCGCCCTACTA

E IV

AGGCAGTGGCCTCTGTGTGTTCTCCAAACACCCAATCCAGGAAATCTTCCAGCATGTCTA  
 1801 -----+-----+-----+-----+-----+ 1860  
 TCCGTACCCGGAGACACACAAGAGGTTTGTGGGTTAGGTCCTTTAGAAGGTCGTACAGAT

CAGTCTGAATGGTTACCCCTACATGGTAAGGATCTCTTCCCTATCCTTGCTAACACAGAC  
 1861 -----+-----+-----+-----+-----+ 1920  
 GTCAGACTTACCAATGGGGATGTACCATTCTTAGAGAAGGGATAGGAACGATTGTGTCTG

TGGACGCAGCCTTCTCTGGGGCCTTGGCAGGAGGGTGTCTAGTACCCTGAGTTTTTGTCTTC  
 1921 -----+-----+-----+-----+-----+ 1980  
 ACCTGCGTCGGAAGGACCCCGAACCCTCTCCACAGTCATGGGACTCAAAAACAGAAG

TCTTGCTGTCAGTTCCATCATGGAGACTGGTTCTGTGGGAAGTCTGTGGGGCTGCTGGTG  
 1981 -----+-----+-----+-----+-----+ 2040  
 AGAACGGACGTCAAGGTAGTACCTCTGACCAAGACACCCTTCAGACACCCCCGACGACCAC

E V

CTCCGTCTAAGTGGACTGGTGCTCAATGCCTACGTGACTCATGTGAGTGGGGCTAGCCAG  
 2041 -----+-----+-----+-----+-----+ 2100  
 GAGGCAGATTACCTGACCACGAGTTACGGATGCACTGAGTACACTCACCCCGATCGGTC

GCTTAGGCAGTGGGTCAAGCAGCCCAATGCTATGGTGGAGAAGAGACGCCACTAGTTAGT  
 2101 -----+-----+-----+-----+-----+ 2160  
 CGAATCCGTCACCCAGTTCGTGCGGTTACGATACCACCTCTTCTCTGCGGTGATCAATCA

TCTGTGCTGCTGGGGATAAGGCATGGGATCAGAAGCTAGCATTGGGCAAGGTTCACCCATT  
 2161 -----+-----+-----+-----+-----+ 2220  
 AGACGACGGACCCCTATTCCGTACCCTAGTCTTCGATCGTAACCCGTTCCAAGTGGGTAA

CCCTGTCACTCTGCCATGTGACAGATGACAAGCTTGATTGAGACAGCCTTCTCTTTGA  
 2221 -----+-----+-----+-----+-----+ 2280  
 GGGACAGTGTGAGACGGTACACTGTCTACTGTTGAACTAAGTCTGTGCGGAAGAGAACT

TTTCACTTATCCACTTTAGCTACATGCTGAGTACAGCCGACAGAAGGACATCTACTTTG  
 2281 -----+-----+-----+-----+-----+ 2340  
 AAAGTGGATAAGGTGAAATCGATGTACGACTCATGTGCGGCTGTCTTCTGTAGATGAAAC

E VI

CACACCGTGTGGCCCAAGCTTGGGAACTGGCCAGTTTCATCCAGTGTGTGAGCCTGGGCT  
 2341 -----+-----+-----+-----+-----+ 2400  
 GTGTGGCACACCGGTTGGAACCCTTGACCGGGTCAAGTAGGTCACACACTCGGACCCGA

TGATGGGGGCTGTGGGGTGGGGACGGGGTTGAGGGATGNGNAANTTATCCTTGAAGAGGG  
 2401 -----+-----+-----+-----+-----+ 2460  
 ACTACCCCGACACCCACCCCTGCCCAACTCCCTACNCNTTNAATAGGAACTTCTCCC

CACATAATAAGGGAAGAATTTCTCTCTGCGCTCTTCCCCAACTCAGCCACACATCCA  
 2461 -----+-----+-----+-----+-----+ 2520  
 GTGTATTATTCCCTTCTTAAAGGAGGAACGGCGAGAAGGGGTTGAGTCGGTGTGTAGGT

E VII

AGAATGCAGATGTGGTTCTATTGTGTGGAGACCTCAATATGCACCCCAAAGACCTGGGCT  
 2521 -----+-----+-----+-----+-----+ 2580  
 TCTTACGTCTACCAAGATAACACACCTCTGGAGTTATACGTGGGGTTTCTGGACCCGA

Figure 2-3

GCTGCCTGCTGAAAGAGTGGACAGGGCTCCATGATGCTTTCGTTGAGACTGAGGACTTTA  
 2581 -----+-----+-----+-----+-----+ 2640  
 CGACGGACGACTTTCTCACCTGTCCCGAGGTACTACGAAAGCAACTCTGACTCCTGAAAT  
 AGGTGAGAGACTGTTTCCACCAACTCCACACTTGTTCAGTCTTCCTGTCTCTTAGCAT  
 2641 -----+-----+-----+-----+-----+ 2700  
 TCCACTCTCTGACAAAGGGTGGTTGAGGTGTGAACAAGGTCAGAAGGACAGAGAATCGTA  
 CCTAGCCACCTGTTTCCCTAGGGCTCTGATGATGGCTGTACCATGGTACCCAAGAAGTGC  
 2701 -----+-----+-----+-----+-----+ 2760  
 GGATCGGTGGACAAAGGGATCCCGAGACTACTACCGACATGGTACCATGGGTTCTTGACG  
**E VIII**  
 TACGTCAGCCAGCAGGACCTGGGACCGTTTCCGTCTGGTATCCGGATTGATTACGTGCTT  
 2761 -----+-----+-----+-----+-----+ 2820  
 ATGCAGTCGGTCGTCCTGGACCCCTGGCAAAGGCAGACCATAGGCCTAACTAATGCACGAA  
 TACAAGGTCAGGCTCTTATTCCCGGTGTGCCTTCTCCAGTATCTTCCTTCTCTGTCACT  
 2821 -----+-----+-----+-----+-----+ 2880  
 ATGTTCCAGTCCGAGAATAAGGGCCACACGGAAGAGGTATAGAAGGAAGGAGACAGTGA  
 AGCCACGCTTTAGTTTCACTACAGTCTTGGGCCACTGATGGCTAAAGAATAGAATCCTG  
 2881 -----+-----+-----+-----+-----+ 2940  
 TCGGGTGGCAAATCAAGTCGATGTCAGAACCCGGTGACTACCGATTCTTATCTTAGGAC  
 TCGGCTGGTTCTCTGGGAGAATTTAAGCTTCTCCATGTTCTTGCTCTTCTAGGCAGTCT  
 2941 -----+-----+-----+-----+-----+ 3000  
 AGCCGACCAAGAGACCCTCTTAAATTGGAAGAGGTACAAGAACGAGAAGGATCCGTCAGA  
 CTGAGTTCACGCTCTGCTGTGAGACTCTGAAAACCACTACAGGCTGTGACCCCTCACAGTG  
 3001 -----+-----+-----+-----+-----+ 3060  
 GACTCAAGGTGCAGACGACACTCTGAGACTTTTGGTGATGTCCGACACTGGGAGTGTAC  
**E IX**  
 ACAAGCCCTTCTCTGATCAGGAGGCCCTCATGGCTACTTTGTATGTGAAGCACAGCCCCC  
 3061 -----+-----+-----+-----+-----+ 3120  
 TGTTCGGGAAGAGACTAGTGCTCCGGGAGTACCGATGAAACATACACTTCGTGTGGGGG  
 CTCAGGAAGACCCCTGTACTGCCTGTGGTAAGCAGCATTTCCTTTGCCCCCTCTACTTTA  
 3121 -----+-----+-----+-----+-----+ 3180  
 GAGTCCTTCTGGGGACATGACGGACACCATTTCGTCGTAAGGAAACGGGGGAGATGAAAT  
 AGGCAGCCCCGCTCCATCCTGACCCTCCCCCTGCTCTACGTTCTCTTTTTCCAGGCCC  
 3181 -----+-----+-----+-----+-----+ 3240  
 TCCGTCGGGGCGGAGGTAGGACTGGGAGGGGACGAGATGCAAGAGAGAAAAAGGTCCGGG  
 ACTGGAAGGTCCGATTGATCAGCGTGCTAAGGGAGGCCAGGACAGAGCTGGGGCTAGG  
 3241 -----+-----+-----+-----+-----+ 3300  
 TGACCTTTCCAGGCTAAACTAGTCGCACGATTCCCTCCGGTCTGTCTCGACCCCGATCC  
**E X**  
 CATAGCTAAAGCTCGCTGGTGGGCTGCATTCTCTGGCTATGTGATCGTTTGGGGGCTGTC  
 3301 -----+-----+-----+-----+-----+ 3360  
 GTATCGATTTCGAGCGACACCCCGACGTAAGAGACCGATACACTAGCAAACCCCGACAG  
 CCTTCTGGTGTGTGTGTGTCTCTGGCTGCAGGAGAAGAGGCCAGGGAAGTGGCCATCAT  
 3361 -----+-----+-----+-----+-----+ 3420  
 GGAAGACCACAACGACACACAGGACCGACGTCCTCTTCTCCGGTCCCTTCACCGGTAGTA

Figure 2-4

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CCTCTGCATACCCAGTGTGGGTCTGGTGTGGTAGCAGGTGCAGTCTACCTCTTCCACAA  
 3421 -----+-----+-----+-----+-----+ 3480  
 GGAGACGTATGGGTACACCCAGACCAGACCATCGTCCACGTGAGATGGAGAAGGTGTT  
 GCAGGAGGCCAAGGGCTTATGTCCGGGCCAGGCTGAGATGCTGCACGTTCTGACAAGGGA  
 3481 -----+-----+-----+-----+-----+ 3540  
 CGTCCTCCGGTTCCCGAATACAGCCCGGGTCCGACTCTACGACGTGCAAGACTGTTCCCT  
 AACGGAGACCCAGGACCGAGGCTCAGAGCCTCACCTAGCCTACTGCTTGACAGCAGGAGGG  
 3541 -----+-----+-----+-----+-----+ 3600  
 TTGCCTCTGGGTCTGGCTCCGAGTCTCGGAGTGGATCGGATGACGAACGTGCTCTCCCT  
 stop  
 GGACAGAGCTTAAGAGCTTAACAATAAACTTGCTTGACACACTCTAGTGGCTCTACCTT  
 3601 -----+-----+-----+-----+-----+ 3660  
 CCTGTCTCGAATTCTCGAATTGTTATTTTGAACGAACGTGTGAGATCACCGAGATGGAA  
 GTTCCTTGACAGAGGCATGATGGGAACCTGAAGGTGAGTGGCCTTGTCACCTGTGTGGCTTTA  
 3661 -----+-----+-----+-----+-----+ 3720  
 CAAGGAACGTCTCCGTACTACCTTGACTTCCAGTCACCGGAACAGTGACACACCGAAAT  
 GAGCGTTGGCCTCTCACTTGCCCTTTTTTGCACACTCCCGTCTCCTGCCAGCACAGAGCAT  
 3721 -----+-----+-----+-----+-----+ 3780  
 CTCGCAACCGGAGAGTGAACGGAAAAACGTGTGAGGGCAGAGGACGGTCTGTCTCGTA  
 AAACCCTGTTTCATGGTCATAATCCTTTTATTGTAAACAACGAAGCCTCTGACTAAGCAGT  
 3781 -----+-----+-----+-----+-----+ 3840  
 TTTGGGACAAGTACCAGTATTAGGAAAAATAACATTGTTGCTTCGGAGACTGATTCTGTCA  
 CCAGATGGCGGAGGTACAGCCCTTGATGGTGTCTTGCTTACGGGGCAGGGAGGCAGCT  
 3841 -----+-----+-----+-----+-----+ 3900  
 GGTCTACCGCCTCCATGTGCGGAACACTACCACAGAACGAATGCCCCGTCCCTCCGTCTGA  
 AACCATCATCTTCTAGCCCTGGGCTCCCATCTATGCAGGCATCTCTCTGAGCCTCCGTTC  
 3901 -----+-----+-----+-----+-----+ 3960  
 TTGGTAGTAGAAGATCGGGACCCGAGGGTAGATACGTCCGTAGAGAGACTCGGAGGCAAG  
 CTCTGGAATTGGNTCAGAGCAATCCCGCTTGCTTACCAACCTCCAAACAGCTTCCTTA  
 3961 -----+-----+-----+-----+-----+ 4020  
 GAGGACCTTAACCNAGTCTCGTTAGGGCGAACCAAGTGGTGGAGGTTTGTCTGAAGGAAT  
 AGGACCTGGTTTCTCAAAANGGNAAGGTNCGGGCCTCCGGTCTTCAATANGTTTTCCTAA  
 4021 -----+-----+-----+-----+-----+ 4080  
 TCCTGGACCAAAGAGTTTNCNTTCCANGCCCGGAGGCCAGAAGTTATNCAAAAGGATT  
 AAAGGGANGAATGAAAANCCTTAAGNNCCAACAAGGGGAACCTTGGNCCCAAAAGGGGA  
 4081 -----+-----+-----+-----+-----+ 4140  
 TTTCCCTNCTTACTTTTNGGAATTCNNGGTTGTCCCCTTGGGAACNNGGTTTTCCTT  
 CCTGGGTGGTTTCCNTTGGGGCCAAANTTATCCCAAAGGGTCCAATTGAAGGGTTAAC  
 4141 -----+-----+-----+-----+-----+ 4200  
 GGACCCACCAAAGGGNAACCCCGTTTNAATAGGGTTTCCCCAGGTTAACCTCCCAATTG  
 CCCCCAAAAANNACCNTTCCCCCGGAATTTCCAAAGGTTTNNCCCCCCCCGGCAAAANC  
 4201 -----+-----+-----+-----+-----+ 4260  
 GGGGGTTTTTNNNTGGGNAAAGGGGCCTTAAAGGTTTCCAAANGGGGGGGCCGTTTTNG

Figure 2-5

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TCCCTTGGGGNNCCNAANCNTGGCCCGGNCCTTGGCTTTTCCCCCTTCCCAAGNATTC  
4261 -----+-----+-----+-----+-----+ 4320  
AGGGAACCCCNNGGNTTNGGNACCGGGCCNGAACCGAAAAGGGGGAAAGGGTTCNTAAAG  
  
AAANNTTCCCTNGGAAANCCCCCTTGNTTGGNAAAACCNAATNANGAACCAAGCCAAANNT  
4321 -----+-----+-----+-----+-----+ 4380  
TTTNNAAGGGANCCTTTNGGGGAACNAACCNTTTTGGNTTANTNCTTGGTNCGGTTNNNA  
  
TGCCAANAAACNTTTGGGCAAAGGGGGNAAATTCANCAANGGGGNAATTGGGGAAACCC  
4381 -----+-----+-----+-----+-----+ 4440  
ACGGTTNTTTGGNAAACCCGTTTCCCCNTTTAAGTNGTTNCCCNTTAACCCCTTTGGG  
  
NTGGGTTTNCCCAAAGGGGCCCNANANT  
4441 -----+-----+-----+-----+ 4468  
NACCCAAANGGGTTTCCCGGGNTTNTNA

Figure 2-6

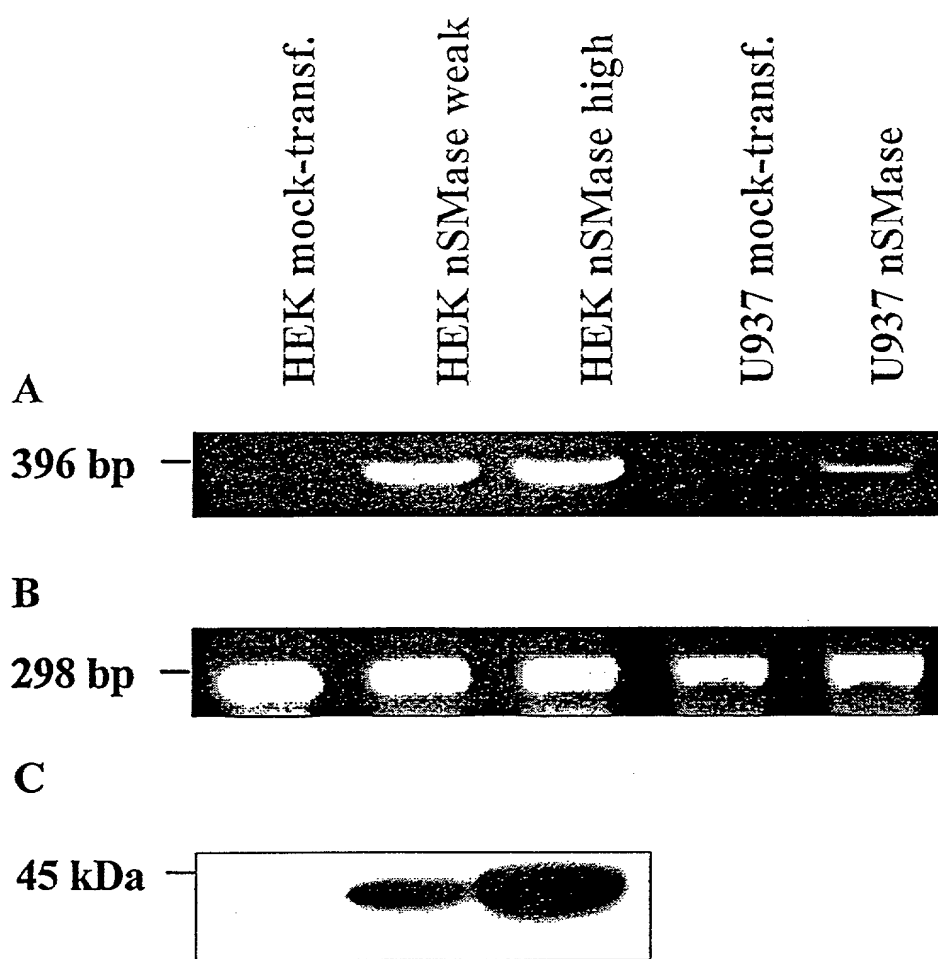


Figure 3

# mnSMase "konventional" Knock Out

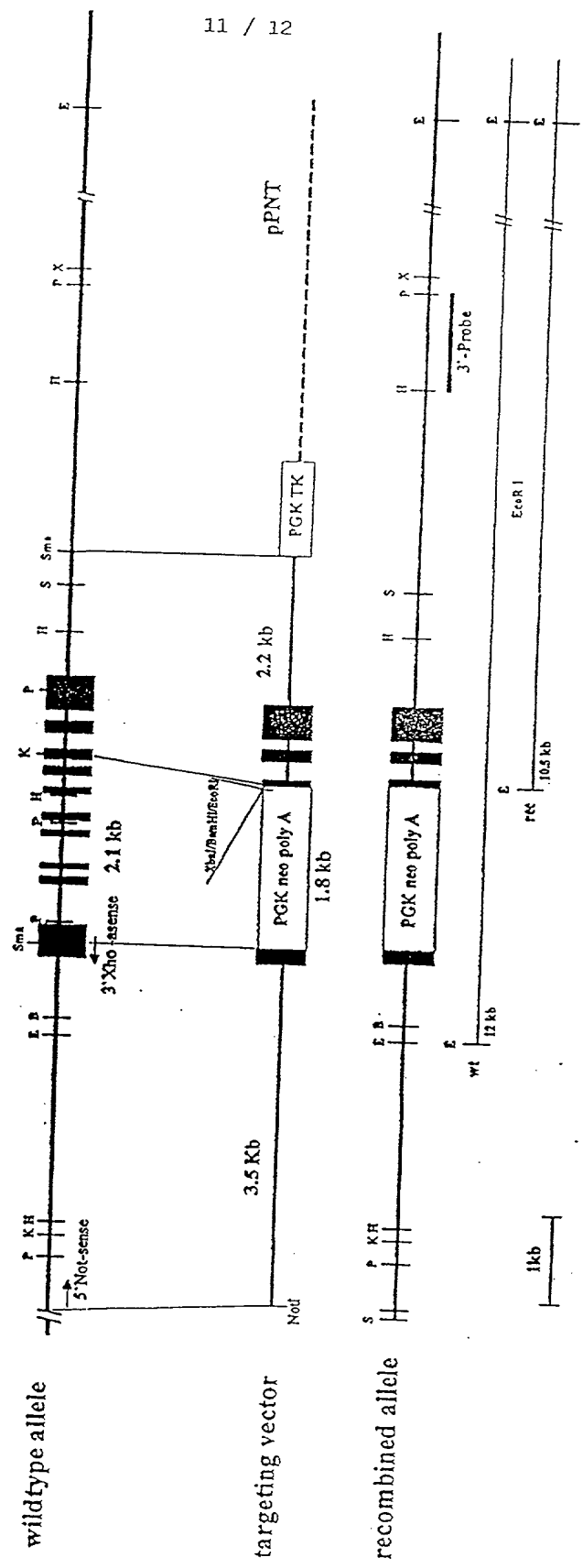


Figure 4

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## Constructs for generating transgenic mouse mutants

ubiquitin promoter	nSMase	IRES	lacZ	polyA

polyA	rtTA	CMV	CMV-1	nSMase	IRES	GFP	polyA

Ubiquitin promoter: regulatory sequence of the ubiquitin gene, controlling a ubiquitous transcription.

nSMase: neutral sphingomyelinase

lacZ: lacZ, gene coding for  $\beta$ -galactosidase

polyA: recognition signal for the termination of transcription and polyadenylation

CMV: cytomegalovirus promoter of the cytomegalovirus gene, controlling a ubiquitous transcription.

rtTA: reverse transactivator, binds to the minimal promoter and thus controls transcription. The binding properties of the transactivator are influenced by tetracyclin. The addition of tetracyclin makes the transactivator bind to the minimal promoter and starts transcription, removal of tetracyclin prevents the binding of the transactivator to the minimal promoter and prevents transcription.

CMV-1: minimal promoter, binding of transactivator starts transcription.

IRES: *internal ribosomal entry sequence*, viral initiation signal for translation.

Figure 5

DECLARATION  
AND POWER OF ATTORNEY  
U.S.A.

ALL PATENTS, INCLUDING DESIGN  
FOR APPLICATION BASED ON PCT; PARIS CONVENTION;  
NON PRIORITY; OR PROVISIONAL APPLICATIONS

FOR ATTORNEY'S USE ONLY

ATTORNEY'S DOCKET NO.

As a below named inventor, I declare that my residence, post office address and citizenship are stated below next to my name, the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed at 201 below), or a first and joint inventor (if plural inventors are named below at 201-203, or on additional sheets attached hereto) of the subject matter which is claimed and for which patent is sought on the invention entitled:

NEUTRAL SPHINGOMYELINASE

which is described and claimed in: ☒ PCT International Application No. PCT/EP 98/05127 filed 11/08/1998  
☐ the attached specification ☐ the specification in application (if applicable) and filed

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

197 34 764.9

Germany

11/08/1997

Priority Claimed

☒ Yes

☐ No

197 38 501.9

Germany

15/10/1997

☒ Yes

☐ No

60/078,386

USA

18/03/1998

☒ Yes

☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Application No. Filing Date Application No. Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status: patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys (Registration No. ) to prosecute this application, receive and act on instructions from my agent, and transact all business in the Patent and Trademark Office connected therewith. HARVEY B. JACOBSON JR. (20,851); D. DOUGLAS PRICE (24,514); JOHN CLARKE HOLMAN (22,769); MARVIN R. STERN (20,640); MICHAEL R. SLOBASKY (26,421); JONATHAN L. SCHERER (29,851); IRWIN M. AISENBERG (19,007); WILLIAM E. PLAYER (31,409)

SEND CORRESPONDENCE TO:

JACOBSON, PRICE, HOLMAN & STERN  
PROFESSIONAL LIMITED LIABILITY COMPANY  
400 SEVENTH STREET N.W.  
WASHINGTON, DC. 20004

DIRECT TELEPHONE CALLS TO:

(please use Attorney's Docket No.) (202) 638-6666

JACOBSON, PRICE, HOLMAN & STERN  
PROFESSIONAL LIMITED LIABILITY COMPANY

Inventor(s) name must include at least one unabbreviated first or middle name.

	FULL NAME OF INVENTOR*	FAMILY NAME	GIVEN NAME	MIDDLE NAME
201	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY
				ZIP CODE
202	FULL NAME OF INVENTOR*	FAMILY NAME	GIVEN NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY
				ZIP CODE
203	FULL NAME OF INVENTOR*	FAMILY NAME	GIVEN NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY
				ZIP CODE

I further declare that all statements made herein of my own knowledge are true and that all statement made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201*	SIGNATURE OF INVENTOR 202*	SIGNATURE OF INVENTOR 203*
01/12/00 Wilhelm Hoffel	15/11/00	01/12/00
DATE	DATE	DATE

□ Additional inventors are named on separately numbered sheets attached hereto.

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